

Internal Audit Annual Plan 2011/12 - Outturn at 31 March 2012

Work Allocations		Outturn to 31/03/12	Approved Plan (15/02/12)	Original Plan	Variance (Outturn -v- Original)	Status at 31/03/12
Job No	Title					
1. ASSURANCE WORK						
LCC Core Financial Systems						
10/0806	Treasury Management	8				✓
10/0809	Officer Expenses	2				✓
10/0810	Payroll	13				✓
10/0812	Car Parking	6				✓
10/0813	Sundry Debtors	1				✓
10/0814	Value Added Tax	1				✓
10/0815	Income Tax and National Insurance	6				✓
10/0816	Income Management	12				✓
10/0817	Main Accounting	6				✓
10/0819	Purchasing Cards	11				✓
11/0829	Housing Rents	33				⚠
11/0833	Asset Management	16				⚠
11/0842	Creditors	4				⚠
Sub-total - Core Financial Systems		119	108	50	69	
Revenues Shared Service - Financial Systems						
11/0822	NNDR (LCC)	20				✓
11/0823	Council Tax (LCC)	12				✓
11/0824	Housing Benefit and Council Tax Benefit (LCC)	24				✓
11/0834	NNDR (Preston CC)	21				✓
11/0835	Council Tax (Preston CC)	19				⚠
11/0839	Housing Benefit and Council Tax Benefit (Preston CC)	17				⚠
Sub-total - Revenues Shared Services		113	107	85	28	
Core Management Arrangements						
10/0787	National Fraud Initiative 2010/11	10				∞
11/0827	National Fraud Initiative 2011/12	8				∞
11/0828	Business Continuity	9				✓
11/0797	Budgetary Control	1				⚠
Sub-total - Core Management Arrangements		28	30	110	-82	
Risk Based Assurance Work Programme						
11/0821	RMS Partnering Contract	57				✓
11/0826	Consultancy Commissioning and Procurement	74				⚠
11/0831	Williamson Park Financial Systems	19				⚠
11/0837	Complaints Policy & Procedures	21				⚠
11/0841	RMS Planned Mtce - Hala Flats Rendering Project	29				✓
11/0844	Festival Market Income Management Arrangements	3				⚠
Sub-total - Risk Based Assurance Work		203	192	105	98	
Follow-Up Reviews		56	60	70	-14	∞
SUB-TOTAL - ASSURANCE WORK		519	497	420	99	

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2. CONSULTANCY WORK						
Support Work (projects and other)						
10/0793	Counter Crime Policy & Strategy	15				✓
10/0509	RIPA Monitoring and Central Register	8				∞
10/0803	Annual Governance Review & Statement 2010/11	4				✓
11/0820	Review of Financial Regulations & CPRs	5				⚠
10/0807	Information Management Group	2				∞
11/0838	HR & Payroll System Replacement	4				⚠
Sub-total - Support Work		38	40	30	8	
Efficiency & VfM						
	Mobile Phones, etc	0				✗
Sub-total - Efficiency & VfM		0	0	30	-30	
Ad-Hoc Advice		52	55	65	-13	∞
SUB-TOTAL - CONSULTANCY WORK		90	95	125	-35	
3. OTHER						
11/0392	Deputy s151 Officer Duties	20				∞
SUB-TOTAL - OTHER		20	15	15	5	
4. AUDIT MANAGEMENT						
11/0172	Committee Work	30				∞
11/0189	Audit Planning & Monitoring	39				∞
SUB-TOTAL - AUDIT MANAGEMENT		69	61	55	14	
5. CONTINGENCIES						
Investigations		9	9	30	-21	
General Contingency		0	18	40	-40	
SUB-TOTAL - CONTINGENCIES		9	27	70	-61	
TOTALS		707	695	685	22	

Key: ✓ Completed ⚠ In Progress ? Not Yet Started ∞ Continuous or Multi-Year Activity
 CFwd Carried Forward to 2012/13 Plan ✗ Abandoned